



1400

JC16 Rec'd PCT/PTO

25 JAN 2002

RECEIVED
FEB 19 2002
ACT
TECH CENTER 1600/2000

PATENT APPLICATION

IN THE U.S. PATENT AND TRADEMARK OFFICE

Date: January 4, 2002

Applicant(s): Junzo SUNAMOTO et al

For : COSMETIC PRODUCT CONTAINING POLYSACCHARIDE-STEROL DERIVATIVE

PCT International Application No.: PCT/JP00/02044

PCT International Filing Date: March 30, 2000

U.S. Application No.

(if known, see 37 CFR 1.5): 09/936 953

Atty. Docket No.: Yanagihara Case 62

Box PCT
Assistant Commissioner for Patents
Washington, DC 20231

REQUEST FOR CORRECTED FILING RECEIPT

Dear Sirs:

The pending Filing Receipt contains error(s).

Please supply a corrected Filing Receipt conforming to the attached, red-corrected photocopy.

In support, see the highlighted portions of the attached photocopy(ies), made from Applicant's file, of:

1. Copy of Declaration (three pages);
2. Copy of Nationalized PCT Application (two pages).

Respectfully submitted,

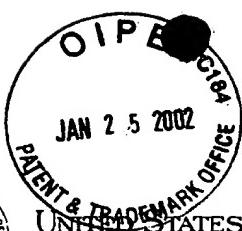
Terryence F. Chapman

TFC/sp

FLYNN, THIEL, BOUTELL
& TANIS, P.C.
2026 Rambling Road
Kalamazoo, MI 49008-1699
Phone: (616) 381-1156
Fax: (616) 381-5465

Dale H. Thiel	Reg. No. 24 323
David G. Boutell	Reg. No. 25 072
Ronald J. Tanis	Reg. No. 22 724
Terryence F. Chapman	Reg. No. 32 549
Mark L. Maki	Reg. No. 36 589
David S. Goldenberg	Reg. No. 31 257
Sidney B. Williams, Jr.	Reg. No. 24 949
Liane L. Churney	Reg. No. 40 694
Brian R. Tumm	Reg. No. 36 328
Tricia R. Cobb	Reg. No. 44 621

Encl. Red-Corrected Photocopy of Pending Filing Receipt Supporting Photocopy(ies) on Numbered List Above Postal Card



UNITED STATES PATENT AND TRADEMARK OFFICE

TECH CENTER 1600

RECEIVED
FEB 19 2002
Page 1 of 2COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
WWW.USPTO.GOV

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
--------------------	-------------	--------------	---------------	----------------	----------	------------	------------

09/936,953 09/17/2001 1614 860 Yanagihara
Case 62

9 1

DHT DGB RJT
TFC MLM DSG
LLC BRT TRCFlynn Thiel Boutell & Tanis
2026 Rambling Road
Kalamazoo, MI 49008-1699

NOV 19 2001

SBW TLH KC
CMC PMG GMS
FILE

CONFIRMATION NO. 4435

FILING RECEIPT



OC000000007060677

Date Mailed: 11/14/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Junzo Sunamoto, Kusatsu-Shi, JAPAN;
Kunio Shimada, Toride-Shi, JAPAN;
Akio Hayashi, Tokyo, JAPAN;
Ryuzo Hosotani, Nishiomiya-Shi, JAPAN; NISHINOMIYA - SHI
Yoshihiro Yano, Tsukuba -shi, JAPAN;
Kazunari Akiyoshi, Uji-Shi, Kyoto, JAPAN;

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A 371 OF PCT/JP00/02044 [03/31/1999] 03/30/2000

Foreign Applications

JAPAN 92401/1999 03/31/1999

Projected Publication Date: Not Applicable, filed prior to November 29, 2000**Non-Publication Request:** No**Early Publication Request:** No**Title**

Cosmetics containing polysaccharide-sterol derivatives

EXPRESS MAIL LABEL NO.: EL 697 493 333 US

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

CONCERNING A FILING UNDER 35 U.S.C. 371

ATTORNEY'S DOCKET NUMBER: Yanagihara Case 62

U.S. APPLICATION NO.

(If known, see 37 CFR 1.5): Unknown

INTERNATIONAL APPLICATION NO.: PCT/JP00/02044 INTERNATIONAL FILING DATE: March 30, 2000

PRIORITY DATE CLAIMED: March 31, 1999

TITLE OF INVENTION: COSMETIC PRODUCT CONTAINING POLYSACCHARIDE-STEROL DERIVATIVE

APPLICANTS FOR DO/EO/US: (1) Junzo SUNAMOTO, (2) Kunio SHIMADA, (3) Akio HAYASHI, (4) Ryuzo HOSOTANI, (5) Yoshihiro YANO and (6) Kazunari AKIYOSHI

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. This express request to begin national examination procedures (35 U.S.C. 371(f) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(l)).
4. A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. has been transmitted by the International Bureau.
 - c. is not required, as the application was filed in the United States Receiving Office (RO/US).
6. A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)).
 - a. are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. have been transmitted by the International Bureau.
 - c. have not been made; however, the time limit for making such amendments has NOT expired.
 - d. have not been made and will not be made.
8. A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
10. A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).

Items 11. to 16. below concern document(s) or information included:

11. An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. A **FIRST** preliminary amendment.
 A **SECOND** or **SUBSEQUENT** preliminary amendment.
14. A substitute specification.
15. A change of power of attorney and/or address letter.
16. Other items or information:
Title Page of WIPO Document WO 00/57841
Form PCT/IB/301 – Notification of Receipt of Record Copy
Form PCT/IB/304 – Notification Concerning Submission or Transmittal of Priority Document
Form PCT/IB/308 – Notice Informing Applicant of Communication of International Application to Designated Offices
Form PCT/IPEA/409 – English Language International Preliminary Examination Report
English Language International Search Report including References cited therein – *100x in center*
Postal Card

FORM PTO-1390
U.S. APPLICATION NO.
(if known, see 37 CFR 1.5):
Unknown

INTERNATIONAL APPLICATION NO.:
PCT/JP00/02044

US
ATTORNEY'S DOCKET NUMBER:
Yanagihara Case 62

17. [X] The following fees are submitted:

CALCULATIONS PTO USE ONLY

BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)):

Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO \$1000.00
International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$ 860.00
International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$ 710.00
International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$ 670.00
International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4) ... \$ 100.00
ENTER APPROPRIATE BASIC FEE AMOUNT = \$860.00

Surcharge of \$130.00 for furnishing the oath or declaration later than 20 30 months from the earliest claimed priority date (37 CFR 1.492(e)). \$

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	
Total claims	9 - 20 =	0	X \$ 18.00	\$
Ind. claims	1 - 3 =	0	X \$ 80.00	\$
MULTIPLE DEPENDENT CLAIMS (if applicable)			+ \$270.00	\$
TOTAL OF ABOVE CALCULATIONS				= \$860.00

Reduction of 1/2 for filing by small entity, if applicable. Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28). - \$
SUBTOTAL = \$860.00

Processing fee of \$130.00 for furnishing the English translation later than 20 30 months from the earliest claimed priority date (37 CFR 1.492(f)). + \$
TOTAL NATIONAL FEE = \$860.00

Fee for recording assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property + \$ 40.00
TOTAL FEES ENCLOSED = \$900.00
Amount to be refunded \$
charged \$

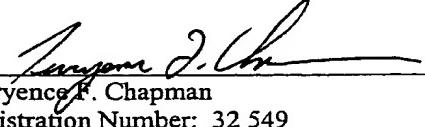
- a. [X] A check in the amount of \$900.00 to cover the above fees is enclosed.
b. [] Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.
c. [X] The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 06-1382. A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

IN DUPLICATE

SEND ALL CORRESPONDENCE TO:
FLYNN, THIEL, BOUTELL & TANIS, P.C.
2026 Rambling Road
Kalamazoo, Michigan 49008-1699

300.0900


Terryence F. Chapman
Registration Number: 32 549